DATE OF DEPOSIT: August 1, 2003

PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032 Please type a plus sign (+) inside this box U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 02-FDP-353 UTILITY Attorney Docket No. PATENT APPLICATION Michael P. Puskar First Inventor CIRCUIT BREAKER TRIP UNIT EMPLOYING A ROTARY PLUNGER TRANSMITTAL EV207366939US Express Mail Label No. (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. Total Pages (if applicable, all necessary) Specification Computer Readable Form (CRF) Descriptive title of the invention b. Specification Sequence Listing on: Cross Reference to Related Applications i. D CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, ii. D paper or a computer program listing appendix

- Background of the Invention Statements verifying identity of above copies - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure Attorney (when there is an assignee) English Translation Document (if applicable) 17 Drawing(s) (35 U.S.C. 113) [Total Sheets Copies of IDS Information Disclosure Citations 12. 5. Oath or Declaration § Total Pages Statement (IDS)/PTO-1449 101 **Preliminary Amendment** Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14 1 (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** 15. Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 16. 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.:_ Prior application information: Examiner Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below Customer Number or Bar Code Label

412/494-3387 412/787-6473 Country US Telephone Fax 37,357 Kirk D. Houser Name (Print/Type) Registration No. (Attorney/Agent) 8/1/03 Signature

State

PA

Zip Code

15275-1032

Martin J. Moran, Esquire

Cutler-Hammer, Technology & Quality Center

170 Industry Drive, RIDC Park West

Pittsburgh

Name

Address

City

Burden Hour Statement: This form is stimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



THIS FORM IS SUBMITTED IN DUPLICATE

PTO/SB/17 (10-02) Approved for use through 10/31/2002, OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL Application Number Filed Herewith Filing Date for FY 2003 Michael P. Puskar First Named Inventor Patent fees are subject to annual revision. Examiner Name Applicant claims small entity status. See 37 CFR 1.27 Art Unit 768.00 **TOTAL AMOUNT OF PAYMENT** (\$) 02-EDP-353 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) Money Order 3. ADDITIONAL FEES Other Check Credit card None Large Entity , Small Entity ✓ Deposit Account: Fee Fee **Fee Description** Deposit Code (\$) Code Fee Paid 05-0275 Account 1051 130 2051 65 Surcharge - late filing fee or oath Number Deposit 1052 2052 Surcharge - late provisional filing fee or 50 25 **Eaton Corporation** count cover sheet Name 1053 130 1053 130 Non-English specification <u>The Commissioner is authorized to: (check all that apply)</u> 1812 2.520 1812 2,520 For filing a request for ex parte reexamination Charge fee(s) indicated below Credit any overpayments 1804 920* Requesting publication of SIR prior to 1804 920 Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee 1805 1,840 1805 1,840* Requesting publication of SIR after Examiner action to the above-identified deposit account. 1251 110 2251 55 Extension for reply within first month **FEE CALCULATION** Extension for reply within second month 1252 400 2252 200 1. BASIC FILING FEE 1253 2253 460 Extension for reply within third month 920 arge Entity **Small Entity** Fee Description Fee Paid Fee Fee Code (\$) 1254 1,440 2254 720 F<u>ee Fee</u> Code (\$) Extension for reply within fourth month 2255 Extension for reply within fifth month 1.960 980 Utility filing fee 1001 740 2001 370 750.00 1401 320 2401 160 Notice of Appeal 1002 330 2002 165 Design filing fee 1003 510 2003 255 Plant filing fee 1402 320 2402 160 Filing a brief in support of an appeal 1403 280 2403 140 Request for oral hearing 1004 740 2004 370 Reissue filing fee Petition to institute a public use proceeding Provisional filing fee 1451 1,510 1451 1.510 1005 160 2005 80 1452 110 2452 55 Petition to revive - unavoidable **SUBTOTAL (1)** (\$) 750.00 1453 1.280 2453 640 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1.280 2501 640 Utility issue fee (or reissue) Fee from Ext<u>ra Claim</u>s below 1502 460 2502 230 Design issue fee **Total Claims** x 18.00 18,00 1503 620 2503 310 Plant issue fee Independent 3 - 3** 0 x 84.00 130 1460 130 Petitions to the Commissioner 1460 Multiple Dependent Processing fee under 37 CFR 1.17(q) 1807 50 1807 50 Large Entity | **Small Entity** 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Fee Code (\$) Fee Description Fee Fee Code (\$) Recording each patent assignment per 8021 40 8021 40 property (times number of properties) Claims in excess of 20 1202 18 2202 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 740 2809 370 1201 84 42 Independent claims in excess of 3 2201 1203 280 Multiple dependent claim, if not paid For each additional invention to be 2203 140 1810 740 2810 370 examined (37 CFR 1.129(b)) ** Reissue independent claims 1204 84 2204 42 over original patent 740 2801 370 Request for Continued Examination (RCE) 1801 ** Reissue claims in excess of 20 1205 18 2205 1802 900 1802 900 Request for expedited examination of a design application and over original patent Other fee (specify) |(\$)18.00SUBTOTAL (2) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) **or number previously paid, if greater; For Reissues, see above (Complete (if applicable)

SUBMITTED BY Registration No. Telephone 412,566,6083 Name (Print/Type) Kirk D. Høuser 37,357 (Attorney/Agent) Date Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.